

PLATINUM GLOBAL TRANSITION FUND (QUOTED MANAGED HEDGE FUND)

Operating Authority Form – Individual Representative

Use this Form to appoint an individual with the legal capacity to act as your authorised representative (agent) to operate your Investment Account on your behalf.

For information on what this appointment means, please refer to the section on 'Authorised Signatories' in the Fund's latest Product Disclosure Statement ("PDS") available at https://www.platinum.com.au/PlatinumSite/media/Default/pgtx_pds.pdf

1 Investment Account Details

Investor number

Investor name

2 Individual Representative

Australia's Anti-Money Laundering and Counter-Terrorism Financing ("AML/CTF") legislation obliges us to collect identification information and documentation (outlined in Section 5) about your authorised representative (agent).

Platinum may be prevented by AML/CTF legislation to process your agent's investment instructions without the required information and documentation.

Title

Date of birth (dd/mm/yy)

 / /

Given names (in full)

Surname

Residential address (not a PO Box)

Suburb

State

Country

Postcode

 Tick if a Politically-Exposed Person Refer to definition on page 3

3 Declaration and Signatures – Representative

I declare that I am the authorised representative (agent) named above and confirm that the details provided in Section 2 are true and correct.

Signature

Date (dd/mm/yy)

 / /

Witness to Representative's Signature (must be a third party, i.e. not Investor or authorised representative)

Signature

Date (dd/mm/yy)

 / /

Print name (in full)

4 Declaration and Signatures – Investor

I/We, being the Investor(s), appoint the individual nominated in Section 2 as my/our authorised representative (agent) and agree to be bound by the following terms and conditions:

- I/We warrant that my/our nominated authorised representative (agent) is older than 18 years of age and is not a financial adviser.
- I/We acknowledge and agree that I/we am/are bound by all acts of my/our authorised representative (agent), including:
 - signing or otherwise authorising an application to invest;
 - preparing, signing and lodging or otherwise communicating a request to withdraw an investment;
 - directing payment of any amount representing distributions, withdrawal proceeds or otherwise to me/us or to any other person;
 - obtaining information about my/our investment;
 - directing Platinum to send all notices, cheques, reports and other material to the authorised representative (agent) on my/our behalf; or
 - changing my/our account details.
- I/We understand that the exercise of any of the powers by my/our authorised representative (agent), or a person reasonably believed by Platinum to be my/our authorised representative (agent), will be treated as if I/we (Investor) had personally exercised those powers.
- I/We understand that my/our authorised representative (agent) does not have the power to appoint another or different authorised representative (agent) to act on my/our behalf.
- I/We indemnify Platinum from and against all losses, liabilities, actions, proceedings, claims and demands arising from instructions that Platinum receives from my/our authorised representative (agent) whether or not my/our authorised representative (agent) was acting as authorised by me/us.
- I/We agree to provide Platinum with an original signed instruction to cancel my/our appointment of an authorised representative (agent).
- I/We understand that an additional appointment by me/us will void any previously appointed authorised representative (agent).
- Platinum reserves the right not to accept an instruction from your authorised representative (agent).

Joint Investors must all sign (unless we have received prior instructions from all Investors that any one Investor can sign solely).

In the case of company signatories, two directors (or a director and a company secretary) must sign (unless a sole director and company secretary).

Signatory 1

Print name (in full)

Tick capacity (mandatory for companies):

Sole Director and Company Secretary Director Secretary

Date (dd/mm/yy)

Contact number

Signatory 2

Print name (in full)

Tick capacity (mandatory for companies):

Sole Director and Company Secretary Director Secretary

Date (dd/mm/yy)

Contact number

5 Identification Documents

AML/CTF legislation obliges us to collect identification document(s) and other supporting information from an Agent acting on behalf of an Investor.

Please provide all identification documents in the proper form otherwise we may not be able to process your request.

Please do not send original documents as we cannot guarantee their return. Any original document(s) sent to us will be returned by ordinary mail. We will not accept any responsibility for lost documents.

An Individual Representative (agent) must provide **one** Primary Document **OR two** Secondary Documents (being two from Group A, or one from Group A and one from Group B) in a **CERTIFIED COPY FORMAT***. Non-English written identification documents require a translation[#].

Primary photographic documents

Please tick which **one** you are providing:

- Driver's licence or permit under an Australian State/Territory Government or an equivalent authority of a foreign country
- Australian passport (current or expired within the last two years)
- Foreign passport or other international travel document that has a photograph and signature of the individual
- Proof of age card issued by an Australian State/Territory Government
- National identity card issued by a foreign government that has a photograph and signature of the individual

Non-photographic documents

Group A – Primary non-photographic documents

Please tick which **one** you are providing:

- Australian birth certificate
- Australian citizenship certificate
- Foreign citizenship certificate
- Foreign birth certificate
- A Centrelink Pension card or a Centrelink Healthcare card

Group B – Secondary non-photographic documents

- A financial benefit notice issued by the Commonwealth, State or Territory within the last 12 months that contains the name and address of the individual
- An income tax assessment notice issued within the last 12 months that contains the name and address of the individual
- A local government notice (e.g. council rates) or utilities notice (e.g. gas, electricity) issued within the last 3 months

* CERTIFIED COPY FORMAT

All documents must be provided in a certified copy format. This means a copy of the original document that has been certified by an eligible certifier. When having documents certified, you should show both the original document and copy to the eligible certifier.

Each certified copy must include the statement "I certify this is a true copy of the original document" (or similar wording) and must be signed by an eligible certifier. The certifier must state his/her qualification or occupation which makes them eligible.

Please note that we will generally accept an electronic copy of a certified document. However, in certain circumstances we may require sight of the original penned signature of the certifier on the certified copy. Investor Services will notify you if this is required.

Who can certify copies of documents?

A person in the following profession/role is an eligible certifier:

- chiropractor, dentist, medical practitioner, nurse, optometrist, pharmacist, physiotherapist, psychologist and veterinary surgeon;
- legal practitioner, patent attorney and trade marks attorney;
- Judge of a court, magistrate, Registrar or Deputy Registrar of a court and master of a court;
- Chief executive officer of a Commonwealth court; Clerk of a court; Commissioner for Affidavits; Commissioner for Declarations;
- Justice of the Peace/Notary Public/Marriage celebrant;
- Australian Post employee who is in charge of an office or has 2 or more years of continuous service;
- Australian Consular Officer or Australian Diplomatic Officer;
- Police officer/bailiff/sheriff;
- bank officer, building society officer, credit union officer and finance company officer with 2 or more continuous years of service;
- Member of Chartered Secretaries Australia;
- Member of Engineers Australia, other than at the grade of student;
- Member of the Association of Taxation and Management Accountants;
- Member of the Australian Defence Force who is an officer or a non-commissioned officer with 2 or more years of continuous service;
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the Institute of Public Accountants;
- Member of the Australasian Institute of Mining and Metallurgy;
- Member of the Parliament of the Commonwealth/the Parliament of a State/Territory legislature/local government authority of a State or Territory;
- Minister of religion;
- Permanent employee of the Commonwealth or a Commonwealth authority/a State or Territory or a State or Territory authority or a local government authority, with 2 or more years of continuous service;
- Teacher employed on a full-time basis at a school or tertiary education institution;
- An officer with, or authorised representative of, a holder of an Australian financial services licence having 2 or more years of continuous service with one or more licensees;
- An officer with, or a credit representative of, a holder of an Australian credit licence having 2 or more years of continuous service with one or more licensees;
- A person authorised as a notary public in a foreign country.

Translation

Customer identification Documents that are not written in English require a Translation by an Accredited Translator.

In Australia, translations should be done by translators accredited by the National Accreditation Authority of Translators and Interpreters ("NAATI").

You can find an Accredited Translator by looking up www.naati.com.au or contacting NAATI on 02 9267 1357.

In New Zealand, contact NTIS New Zealand or MLT Translation Centre.

Outside of Australia and New Zealand, you should contact your local government office.

Politically-Exposed Persons

A 'politically exposed person' (PEP) is an individual who holds a prominent public position or function in a government body or an international organisation, both within and outside Australia. This definition also extends to their immediate family members and close associates.

Mail your completed Form (and Representative's documents) to:

Platinum Global Transition Fund
C/o Link Market Services Limited
Locked Bag 5038
Parramatta NSW 2124

UNIT REGISTRY – LINK MARKET SERVICES LIMITED:

1300 306 413 (within Australia) – phone
+ 61 1300 306 413 (from outside Australia) – phone

PLATINUM'S WEBSITE

www.platinum.com.au

Please note that **THIS FORM CANNOT BE FAXED OR EMAILED.**