

PLATINUM GLOBAL FUND

Operating Authority Form – Corporate Representative

This form is to be used for direct investments in the Platinum Global Fund and not if you have invested via the mFund Settlement Service (mFund).

Use this Form to appoint a company with the legal capacity to act as your authorised representative (agent) to operate your Investment Account on your behalf. For information on what this appointment means, how it operates, and the important legal matters to consider when making such an appointment, please refer to page 6 of the Fund’s Additional Information Booklet (“Booklet”).

1 Investment Account Details

Account number

Account name (in full)

2 Corporate Representative

Australia’s Anti-Money Laundering and Counter-Terrorism Financing (“AML/CTF”) legislation obliges us to collect identification information and documentation (outlined in Section 5) about your authorised representative (agent).

Platinum may be prevented by AML/CTF legislation to process your agent’s investment instructions without the required information and documentation.

2.1 Company Type

Tick **one** box and complete the specified parts of Section 2:

- Australian proprietary company – Sections 2.2, 2.4, 2.5 & 2.6
- Australian public company – Sections 2.2 & 2.4 (if unlisted / unregulated also Section 2.6)
- Non-Australian private company – Sections 2.2, 2.3, 2.4, 2.5 & 2.6
- Non-Australian public company – Sections 2.2, 2.3 & 2.4 (if unlisted also Section 2.6)

2.2 Company Details

Company name (in full)

Business name (if any, in full)

Country of incorporation (formation)

ACN (if registered in Australia)

Contact name (at Company)

Registered address in Australia (not a PO Box)

Suburb

State

Postcode

Country

Principal place of business in Australia (not a PO Box)

Tick if same as registered address, otherwise provide:

Company’s address

Suburb

State

Postcode

Country

2.3 Additional Details for Non-Australian Company

If registered with ASIC, provide:

ARBN

If registered with a non-Australian regulatory body, provide:

Name of regulatory body (in full)

ID number or reference (issued by the regulatory body)

Company’s address (as registered with regulatory body)

Suburb

State

Postcode

Country

Section 2.3 continued on page 2.

If **not** registered with ASIC or a non-Australian regulatory body, provide:

Company's principal place of business address in **home country**

Suburb

State

Postcode

Country

2.4 Regulatory / Listing Details

If the company is regulated or listed, select the relevant category and provide the information requested.

Regulated company

A company whose activities are subject to the oversight of a statutory regulator. In this context regulated means subject to supervision beyond that provided by a company registration body. Examples of regulated companies in Australia include Australian Financial Services Licensees (AFSL), Australian Credit Licensees (ACL) or Registrable Superannuation Entity (RSE) Licensees.

Regulator name

Licence details

Listed on a recognised market / exchange

Name of market / exchange

Majority-owned subsidiary of an Australian listed company

Australian listed company name

Name of market / exchange

2.5 Director Information

Australian proprietary companies and Non-Australian private companies to provide the **full** name of **each** director of the Company:

1

2

3

4

5

6

If there are additional directors, please provide details as an attachment.

2.6 Beneficial Owner(s)

To be completed by Australian and Non-Australian proprietary (also known as private) companies. Public companies that are not listed or licensed and subject to regulatory oversight must also complete this section.

You must provide details of each individual who ultimately (whether directly or indirectly):

- owns 25% or more of the issued capital of the company; or

- controls the company, for example a person who has the capacity to determine decisions about financial and operating policies. (Refer to definition of 'control' on page 5).

If there is no one under this category, then please provide any individual who is entitled to exercise 25% or more of the voting rights, including a power of veto.

If there is no one that satisfies either of these categories, then provide the details of any individual who holds the position of senior managing official (or equivalent).

Beneficial Owner 1

Name (in full)

Date of birth (dd/mm/yy)

Residential address (not a PO Box)

Suburb

State

Postcode

Country

Beneficial Owner 2

Name (in full)

Date of birth (dd/mm/yy)

Residential address (not a PO Box)

Suburb

State

Postcode

Country

Beneficial Owner 3

Name (in full)

Date of birth (dd/mm/yy)

Residential address (not a PO Box)

Suburb

State

Postcode

Country

Beneficial Owner 4

Name (in full)

Date of birth (dd/mm/yy)

Residential address (not a PO Box)

Suburb

State

Postcode

Country

3 Declaration and Signatures – Representative

We, acting for the corporate representative named above, confirm that the details provided about the company in Section 2 are true and correct. Two directors (or a director and a company secretary) must sign.

Signatory 1

Print name (in full)

Tick capacity (mandatory for companies):

Sole Director and Company Secretary Director Secretary

Date (dd/mm/yy)

 / /

Company Seal (if applicable):

Signatory 2

Print name (in full)

Tick capacity (mandatory for companies):

Director Secretary

Date (dd/mm/yy)

 / /

4 Declaration and Signatures – Investor

I/We, being the Investor(s), appoint the company nominated as my/our authorised representative (agent) and agree to be bound by the following terms and conditions:

- I/We warrant that my/our nominated authorised representative (agent) is not a Financial Adviser.
- I/We acknowledge and agree that I/we am/are bound by all acts of my/our authorised representative (agent), including:
 - signing or otherwise authorising an application to invest;
 - preparing, signing and lodging or otherwise communicating a request to withdraw an investment;
 - directing payment of any amount representing distributions, withdrawal proceeds or otherwise to me/us or to any other person;
 - obtaining information about my/our investment;
 - directing Platinum to send all notices, cheques, reports and other material to the authorised representative (agent) on my/our behalf; or
 - changing my/our account details.
- I/We understand that the exercise of any of the powers by my/our authorised representative (agent), or a person reasonably believed by Platinum to be my/our authorised representative (agent), will be treated as if I/we (Investor) had personally exercised those powers.
- I/We understand that my/our authorised representative (agent) does not have the power to appoint another or different authorised representative (agent) to act on my/our behalf.
- I/We indemnify Platinum from and against all losses, liabilities, actions, proceedings, claims and demands arising from instructions that Platinum receives from my/our authorised representative (agent) whether or not my/our authorised representative (agent) was acting as authorised by me/us.
- I/We agree to provide Platinum with an original signed instruction to cancel my/our appointment of an authorised representative (agent).
- I/We understand that an additional appointment by me/us will void any previously appointed authorised representative (agent).
- Platinum reserves the right not to accept an instruction from your authorised representative (agent)

Joint Investors must all sign (unless we have received prior instructions from all Investors that any one Investor can sign solely).

In the case of company signatories, two directors (or a director and a company secretary) must sign (unless a sole director and company secretary).

Signatory 1

Print name (in full)

Tick capacity (mandatory for companies):

Sole Director and Company Secretary Director Secretary

Date (dd/mm/yy)

 / /

Contact number

Signatory 2

Print name (in full)

Tick capacity (mandatory for companies):

Director Secretary

Date (dd/mm/yy)

 / /

Contact number

5 Identification Documents

AML/CTF legislation obliges us to collect identification document(s) and other supporting information from an Agent acting on behalf of an Investor.

Please provide all identification documents in the proper form otherwise we may not be able to process your request.

Please do not send original documents as we cannot guarantee their return. Any original document(s) sent to us will be returned by ordinary mail. We will not accept any responsibility for lost documents.

A Corporate Representative (agent) must provide the following depending on whether it is an Australian Company or a non-Australian Company:

IDENTIFICATION DOCUMENTATION – COMPANY

As a company, you will need to complete both **Verification of a Company and Verification of Beneficial Owners**.

Verification of a Company

Australian Companies should refer to Section 5.A.

Non-Australian Companies must provide any one document detailed in Section 5.B.

Verification of Beneficial Owners

You must complete for all individuals listed in 2.6 Beneficial Owner(s).

- **one** Primary Document (Section 5.C); OR
- **two** Secondary Documents (Section 5.D), being two from Group A, or one from Group A and one from Group B.

5.A Australian Company

No documents are required at this time for the company. We may, however, request documents at a later time to verify an inconsistency that arises in respect of information provided on this Application Form and Australian public records.

Please proceed to verification of Beneficial Owner – Section 5.C or 5.D.

5.B Non-Australian Company

Please tick which **one** you are providing:

- Company's Certificate of Registration / Incorporation – issued by ASIC or by a Regulatory or Government Authority in home country, which states the Company's type (i.e. public or private) and registration number
- Company's Articles of Association (or Constitution) – an extract showing the Company's full name and type
- Company's Public Offer Document – an extract showing the Company's full name and type

Please proceed to verification of Beneficial Owner – Section 5.C or 5.D.

5.C Beneficial Owner – Primary Documents

Please tick which **one** you are providing:

- AUS Passport – current or recently expired (i.e. within the last two years)
- Passport issued by a foreign Government, the United Nations ("UN") or an agency of the UN – current and provides your photograph and signature
- AUS driver's licence or permit (or equivalent issued by a foreign Government) – current and provides your photograph
- Identity or Proof of Age Card issued by AUS Government (Cth, State or Territory) – current and provides your photograph
- Identity card issued by a foreign Government, the UN or an agency of the UN – current and provides your photograph and signature

5.D Beneficial Owner – Secondary Documents

Group A

Please tick which **one** you are providing:

- AUS birth certificate (or extract thereof)
- Citizenship certificate (issued by AUS or a foreign Government)
- Birth certificate (issued by a Foreign Government, the UN or an agency of the UN)
- Concession card issued by the Australian Government Department of Human Services (e.g. Health Care Card, Pensioner Concession Card)

Group B

Please tick which **one** you are providing:

- AUS Government (Cth, State or Territory) notice – stating your name, residential address, and financial benefits being received under Australian law (dated within the last 12 months)
- ATO notice – stating your name, residential address, and taxation debt owing / payable (dated within the last 12 months)
- Notice issued by a local government body or utilities provider – stating your name, residential address, and the services being received e.g. rates notice, electricity, or water (dated within the last three months)

* CERTIFIED COPY FORMAT

All documents must be provided in a certified copy format. This means a copy of the original document that has been certified by an eligible certifier. When having documents certified, you should show both the original document and copy to the eligible certifier. Each certified copy must include the statement "I certify this is a true copy of the original document" (or similar wording) and must be signed by an eligible certifier. The certifier must state his/her qualification or occupation which makes them eligible. Please note that we will generally accept an electronic copy of a certified document. However, in certain circumstances we may require sight of the original penned signature of the certifier on the certified copy. Investor Services will notify you if this is required.

Who can certify copies of documents?

A person in the following profession/role is an eligible certifier:

- chiropractor, dentist, medical practitioner, nurse, optometrist, pharmacist, physiotherapist, psychologist and veterinary surgeon;
- legal practitioner, patent attorney and trade marks attorney;
- Judge of a court, magistrate, Registrar or Deputy Registrar of a court and master of a court;
- Chief executive officer of a Commonwealth court; Clerk of a court; Commissioner for Affidavits; Commissioner for Declarations;
- Justice of the Peace/Notary Public/Marriage celebrant;
- Australian Post employee who is in charge of an office or has 2 or more years of continuous service;
- Australian Consular Officer or Australian Diplomatic Officer;
- Police officer/bailiff/sheriff;
- bank officer, building society officer, credit union officer and finance company officer with 2 or more continuous years of service;
- Member of Chartered Secretaries Australia;
- Member of Engineers Australia, other than at the grade of student;
- Member of the Association of Taxation and Management Accountants;
- Member of the Australian Defence Force who is an officer or a non-commissioned officer with 2 or more years of continuous service;
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the Institute of Public Accountants;
- Member of the Australasian Institute of Mining and Metallurgy;
- Member of the Parliament of the Commonwealth/the Parliament of a State/Territory legislature/local government authority of a State or Territory;
- Minister of religion;
- Permanent employee of the Commonwealth or a Commonwealth authority/a State or Territory or a State or Territory authority or a local government authority, with 2 or more years of continuous service;
- Teacher employed on a full-time basis at a school or tertiary education institution;
- An officer with, or authorised representative of, a holder of an Australian financial services licence having 2 or more years of continuous service with one or more licensees;
- An officer with, or a credit representative of, a holder of an Australian credit licence having 2 or more years of continuous service with one or more licensees;
- A person authorised as a notary public in a foreign country.

Translation

Customer Identification Documents that are not written in English require a Translation by an Accredited Translator.

In Australia, translations should be done by translators accredited by the National Accreditation Authority of Translators and Interpreters ("NAATI"). You can find an Accredited Translator by looking up www.naati.com.au or contacting NAATI on 02 9267 1357.

In New Zealand, contact NTIS New Zealand or MLT Translation Centre.

Outside of Australia and New Zealand, contact your local government office.

Control

For the purposes of the beneficial owner sections in these application forms, 'control' includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising control through the capacity to determine decisions about financial and operating policies.

Mail your completed Form (and Representative's documents) to:

PLATINUM ASSET MANAGEMENT
GPO BOX 2724
SYDNEY NSW 2001

INVESTOR SERVICES

1300 726 700 (Australia only)
0800 700 726 (New Zealand only)
+ 61 2 9255 7500

Please note that **THIS FORM CANNOT BE FAXED OR EMAILED.**

PLATINUM'S WEBSITE

www.platinum.com.au