

## PLATINUM TRUST FUNDS

### Operating Authority Form – Individual Representative

Use this Form to appoint an individual with the legal capacity to act as your authorised representative (agent) to operate your Investment Account on your behalf. For information on what this appointment means, how it operates, and the important legal matters to consider when making such an appointment, please refer to pages 48 and 54 of the Platinum Trust Product Disclosure Statement No.11 ("PDS").

#### 1 Investment Account Details

Account number

Account name (in full)

#### 2 Individual Representative

Australia's Anti-Money Laundering and Counter-Terrorism Financing ("AML/CTF") legislation obliges us to collect identification information and documentation (outlined in Section 5) about your authorised representative (agent).

Platinum may be prevented by AML/CTF legislation to process your agent's investment instructions without the required information and documentation.

Title

Date of birth (dd/mm/yy)

Given names (in full)

Surname

Citizenship

Residential address (not a PO Box)

Suburb

State

Country

Postcode

Tick if a Politically-Exposed Person  Refer to definition on page 3

#### 3 Declaration and Signatures – Representative

I declare that I am the authorised representative (agent) named above and confirm that the details provided in Section 2 are true and correct.

Signature

Date (dd/mm/yy)

**Witness to Representative's Signature** (must be a third party, i.e. not Investor or authorised representative)

Signature

Date (dd/mm/yy)

Print name (in full)

## 4 Declaration and Signatures – Investor

I/We, being the Investor(s), appoint the individual nominated in Section 2 as my/our authorised representative (agent) and agree to be bound by the following terms and conditions:

- I/We warrant that my/our nominated authorised representative (agent) is older than 18 years of age and is not a Financial Adviser.
- I/We acknowledge and agree that I/we am/are bound by all acts of my/our authorised representative (agent), including:
  - signing or otherwise authorising an application to invest;
  - preparing, signing and lodging or otherwise communicating a request to withdraw an investment;
  - directing payment of any amount representing distributions, withdrawal proceeds or otherwise to me/us or to any other person;
  - obtaining information about my/our investment;
  - directing Platinum to send all notices, cheques, reports and other material to the authorised representative (agent) on my/our behalf; or
  - changing my/our account details.
- I/We understand that the exercise of any of the powers by my/our authorised representative (agent), or a person reasonably believed by Platinum to be my/our authorised representative (agent), will be treated as if I/we (Investor) had personally exercised those powers.
- I/We understand that my/our authorised representative (agent) does not have the power to appoint another or different authorised representative (agent) to act on my/our behalf.
- I/We indemnify Platinum from and against all losses, liabilities, actions, proceedings, claims and demands arising from instructions that Platinum receives from my/our authorised representative (agent) whether or not my/our authorised representative (agent) was acting as authorised by me/us.
- I/We agree to provide Platinum with an original signed instruction to cancel my/our appointment of an authorised representative (agent).
- I/We understand that an additional appointment by me/us will void any previously appointed authorised representative (agent).
- Platinum reserves the right not to accept an instruction from your authorised representative (agent)

**Joint Investors must all sign** (unless we have received prior instructions from all Investors that any one Investor can sign solely).

**In the case of company signatories**, two directors (or a director and a company secretary) must sign (unless a sole director and company secretary).

### Signatory 1

Print name (in full)

Tick capacity (mandatory for companies):

Sole Director and Company Secretary       Director       Secretary

Date (dd/mm/yy)

Contact number

### Signatory 2

Print name (in full)

Tick capacity (mandatory for companies):

Sole Director and Company Secretary       Director       Secretary

Date (dd/mm/yy)

Contact number

## 5 Identification Documents

AML/CTF legislation obliges us to collect identification document(s) and other supporting information from an Agent acting on behalf of an Investor.

Please provide all identification documents in the proper form otherwise we may not be able to process your request.

Please do not send original documents as we cannot guarantee their return. Any original document(s) sent to us will be returned by ordinary mail. We will not accept any responsibility for lost documents.

An Individual Representative (agent) must provide **one** Primary Document **OR two** Secondary Documents (being two from Group A, or one from Group A and one from Group B) in a **CERTIFIED COPY FORMAT\***. Non-English written identification documents require a translation<sup>#</sup>.

### Primary Documents

- AUS Passport – current or recently expired (i.e. within the last two years)
- Passport issued by a foreign Government, the United Nations ("UN") or an agency of the UN – current and provides your photograph and signature
- AUS driver's licence or permit (or equivalent issued by a foreign Government) – current and provides your photograph
- Identity or Proof of Age Card issued by AUS Government (Cth, State or Territory) – current and provides your photograph
- Identity card issued by a foreign Government, the UN or an agency of the UN – current and provides your photograph and signature

### Secondary Documents – Group A

- AUS birth certificate (or extract thereof)
- Citizenship certificate (issued by AUS or a foreign Government)
- Birth certificate (issued by a foreign Government, the UN or an agency of the UN)
- Concession card issued by the Australian Government Department of Human Services (e.g. Health Care Card, Pensioner Concession Card)

### Secondary Documents – Group B

- AUS Government (Cth, State or Territory) notice – stating your name, residential address, and financial benefits being received under Australian law (dated within the last 12 months)
- ATO notice – stating your name, residential address, and taxation debt owing / payable (dated within the last 12 months)
- Notice issued by a local government body or utilities provider – stating your name, residential address, and the services being received e.g. rates notice, electricity, or water (dated within the last 3 months)

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### \* CERTIFIED COPY FORMAT

All documents must be provided in a certified copy format. This means a copy of the original document that has been certified by an eligible certifier. When having documents certified, you should show both the original document and copy to the eligible certifier.

Each certified copy must include the statement "I certify this is a true copy of the original document" (or similar wording) and must be signed by an eligible certifier. The certifier must state his/her qualification or occupation which makes them eligible.

Please note that we require the copy which was actually signed by the certifier (i.e. the original penned signature of the certifier).

### Who can certify copies of documents?

A person in the following profession/role is an eligible certifier:

- chiropractor, dentist, medical practitioner, nurse, optometrist, pharmacist, physiotherapist, psychologist and veterinary surgeon;
- legal practitioner, patent attorney and trade marks attorney;
- Judge of a court, magistrate, Registrar or Deputy Registrar of a court and master of a court;
- Chief executive officer of a Commonwealth court; Clerk of a court; Commissioner for Affidavits; Commissioner for Declarations;
- Justice of the Peace/Notary Public/Marriage celebrant;
- Australian Post employee who is in charge of an office or has 2 or more years of continuous service;
- Australian Consular Officer or Australian Diplomatic Officer;
- Police officer/bailiff/sheriff;
- bank officer, building society officer, credit union officer and finance company officer with 2 or more continuous years of service;
- Member of Chartered Secretaries Australia;
- Member of Engineers Australia, other than at the grade of student;
- Member of the Association of Taxation and Management Accountants;
- Member of the Australian Defence Force who is an officer or a non-commissioned officer with 2 or more years of continuous service;
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the Institute of Public Accountants;
- Member of the Australasian Institute of Mining and Metallurgy;
- Member of the Parliament of the Commonwealth/the Parliament of a State/Territory legislature/local government authority of a State or Territory;
- Minister of religion;
- Permanent employee of the Commonwealth or a Commonwealth authority/a State or Territory or a State or Territory authority or a local government authority, with 2 or more years of continuous service;
- Teacher employed on a full-time basis at a school or tertiary education institution;
- An officer with, or authorised representative of, a holder of an Australian financial services licence having 2 or more years of continuous service with one or more licensees;
- An officer with, or a credit representative of, a holder of an Australian credit licence having 2 or more years of continuous service with one or more licensees;
- A person authorised as a notary public in a foreign country.

### # Translation

Customer identification Documents that are not written in English require a Translation by an Accredited Translator.

In Australia, translations should be done by translators accredited by the National Accreditation Authority of Translators and Interpreters ("NAATI"). You can find an Accredited Translator by looking up [www.naati.com.au](http://www.naati.com.au) or contacting NAATI on 02 9267 1357.

In New Zealand, contact NTIS New Zealand or MLT Translation Centre.

Outside of Australia and New Zealand, you should contact your local government office.

### Politically-Exposed Persons

A 'politically exposed person' (PEP) is an individual who holds a prominent public position or function in a government body or an international organisation, both within and outside Australia. This definition also extends to their immediate family members and close associates.

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Mail your completed Form (and Representative's documents) to:

**PLATINUM ASSET MANAGEMENT**  
**GPO BOX 2724**  
**SYDNEY NSW 2001**

### INVESTOR SERVICES

1300 726 700 (Australia only)  
0800 700 726 (New Zealand only)  
+ 61 2 9255 7500

Please note that **THIS FORM CANNOT BE FAXED OR EMAILED.**

### PLATINUM'S WEBSITE

[www.platinum.com.au](http://www.platinum.com.au)