

## SHAREHOLDER COMMUNICATIONS POLICY



This Communications Policy has been approved by the Board of Directors (the "Board") of Platinum Asia Investments Limited ABN 13 606 647 358 (the "Company").

This Communications Policy describes the Board's policy for ensuring shareholders and potential investors receive or obtain access to information publicly released by the Company.

### A. DISCLOSURE GENERALLY

#### 1. Continuous Disclosure

The Company's Continuous Disclosure Policy sets out the procedures employed to ensure compliance with its obligations under the Australian Securities Exchange ("ASX") Listing Rules, and the *Corporations Act 2001* (Cth).

This Communications Policy should be read in conjunction with the Company's Continuous Disclosure Policy.

The Company Secretary has been appointed by the Board to be responsible for all communications in relation to market disclosure.

#### 2. Confidential Information

It is the Board's policy that Directors of the Company and staff of Platinum Investment Management Limited ABN 25 063 565 006 ("Platinum"), the Investment Manager appointed by the Company, will not make unauthorised disclosures of confidential information or use it for purposes other than those for which it was disclosed, except as required by law.

Platinum's Chief Compliance Officer is responsible for educating Directors and Platinum staff on compliance with this policy.

### 3. Media Releases / Dealings With The Media

The Company Secretary is responsible for all media releases on behalf of the Company.

Media releases that may contain price sensitive information will be considered in accordance with the Company's Continuous Disclosure Policy.

Only the following are authorised to speak to the media about the Company:

- the Chairperson;
- the CEO of Platinum;
- the Company Secretary; and
- other officers nominated by one of the above persons from time to time.

If any price sensitive information is inadvertently disclosed to any person in discussions with the media, the Company Secretary is to be notified immediately. The Company Secretary will proceed with the matter as if it were a notification under the Company's Continuous Disclosure Policy.

### B. COMMUNICATIONS WITH SHAREHOLDERS / INVESTORS

The Company Secretary and Platinum's Director of Investor Services and Communications are primarily responsible for communications with the Company's shareholders and investors.

#### 1. Website

Platinum maintains a website for the Company: [www.platinumasia.com.au](http://www.platinumasia.com.au)

All recent Company information will be posted on the Company's website, including:

- annual reports;
- half-yearly financial reports;

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- Platinum's Quarterly Investment Report;
- weekly and monthly Net Asset Value ("NAV") notices;
- other company releases to the ASX; and
- corporate governance practices.

The website contains a link to the Share Registry for shareholders and to the ASX for share price history.

### 2. Reports to Shareholders / Investors

The Company provides an Annual Report to shareholders for each financial year ending 30 June. The Company also issues a Half-Yearly (or Interim) Report for the period ending 31 December. These reports are posted to the website on their day of release.

Shareholders may elect not to receive these reports by advising the **Share Registry**. Investors may request a copy of these Reports by advising **Investor Services**. Contact details are provided in Section 5 below.

Platinum issues a Quarterly Investment Report for each period ending 31 March, 30 June, 30 September, and 31 December. These are also posted to the website. Investors may obtain a copy by contacting Investor Services (refer to contact details in Section 5 below).

### 3. NAV Releases

The Company notifies the ASX of its 'unaudited' net asset value or backing as at the close of business on the last Business Day of each week (on a pre-tax basis) and as at the end of the month (on a pre-tax and post-tax basis). Once notified to the ASX, the notice is published on the Company's website.

### 4. Annual General Meetings

The Company plans to hold an Annual General Meeting ("AGM") of members in October or November each year. The date, time and venue of the AGM are notified to the ASX when the Financial Reports are lodged, generally in August each year.

The Company will choose a date, venue and time considered convenient to the greatest number of its shareholders.

A notice of meeting will be accompanied by explanatory notes on the items of business and together they will seek to clearly and accurately explain the nature of the business of the meeting. A copy of the Notice of Meeting will be placed on the Company's website.

Shareholders are encouraged to attend the meeting, or if unable to attend, to vote on the motions proposed by appointing a proxy. The proxy form included with the Notice of Meeting will seek to explain clearly how the proxy form is to be completed and submitted.

The Company will request the external Auditor to attend each AGM to answer questions about the conduct of the audit and the preparation and contents of the Auditor's Report.

### 5. Shareholder / Investor Queries

Shareholders with questions about their shareholdings with the Company should contact the Share Registry:

#### **LINK Market Services Limited**

Level 12, 680 George Street,  
Sydney NSW 2000

Phone 8280 7662 or [mark.mcphee@linkmarketservices.com.au](mailto:mark.mcphee@linkmarketservices.com.au)

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Questions about the Company, its performance and other general investment queries should be directed to **Investor Services**:

### **Platinum Asset Management**

Level 8, 7 Macquarie Place, Sydney NSW 2000

Phone 1300 726 700 (Australia), 0800 700 726 (New Zealand),

(61 2) 9255 7500 (International)

[www.platinum.com.au](http://www.platinum.com.au)

The Company and Platinum aims to ensure shareholder and investor queries are dealt with in a courteous, objective and expeditious manner.

Shareholder or investor complaints will be dealt with in accordance with Platinum's Complaints Handling and Disputes Resolution Policy.

Complaints may be forwarded to [complaints@platinum.com.au](mailto:complaints@platinum.com.au)