

## SHAREHOLDER COMMUNICATIONS POLICY

16 August 2022



This policy is authorised and adopted by the Board of Platinum Capital Limited ABN 51 063 975 431 ("**Company**") and describes how the Company engages with Shareholders to ensure that they receive or obtain access to appropriate information and facilities to allow Shareholders to exercise their rights effectively.

### A. DISCLOSURE GENERALLY

#### 1. Continuous Disclosure

The Company's Continuous Disclosure Policy sets out the procedures employed to ensure compliance with its obligations under the ASX Listing Rules and the Corporations Act.

This Shareholder Communications Policy should be read in conjunction with the Company's Continuous Disclosure Policy.

The Company Secretary has been appointed by the Board to be responsible for all communications in relation to market disclosure.

#### 2. Confidential Information

It is the Board's policy that Directors of the Company and staff of Platinum will not make unauthorised disclosures of confidential information or use it for purposes other than those for which it was disclosed, except as required by law or regulation.

Platinum's General Counsel is responsible for educating the Directors and Platinum staff on compliance with this policy.

#### 3. Media Releases / Dealings with the Media

Platinum's Director of Investor Services and Communications is responsible for all media releases on behalf of the Company.

Media releases that may contain price sensitive information will be considered in accordance with the Company's Continuous Disclosure Policy.

Only the following are authorised to speak to the media about the Company:

- the Chair;
- Platinum's Director of Investor Services and Communications ; and
- other persons nominated by one of the above persons from time to time.

If any price sensitive information is inadvertently disclosed to any person in discussions with the media, the Company Secretary is to be notified immediately. The Company Secretary will proceed with the matter as if it were a notification under the Company's Continuous Disclosure Policy.

### B. COMMUNICATIONS WITH SHAREHOLDERS / INVESTORS

Platinum's Director of Investor Services and Communications is primarily responsible for communications with the Shareholders and investors.

#### 1. Website

Platinum maintains a website for the Company: [www.platinumcapital.com.au](http://www.platinumcapital.com.au)

All recent Company information will be posted on the Company's website, including:

- annual reports;
- half-yearly financial reports;
- monthly and quarterly investment reports;
- weekly and monthly net tangible asset ("**NTA**") releases;
- other ASX Company releases;
- corporate governance charters and policies; and
- dividend history and any dividend reinvestment plan.

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The Company's website contains a link to the share registry for Shareholders.

### 2. Reports to Shareholders / Investors

The Company provides an annual report to Shareholders for each financial year ending 30 June and a half-yearly (or interim) report for the period ending 31 December.

Shareholders may elect not to receive these reports by advising the Share Registry. Shareholders may request a copy of these reports by advising Platinum's Investor Services. Contact details are provided in Section 5 below.

The Company also provides Shareholders with monthly investment reports and issues a quarterly investment report for each period ending 31 March, 30 June, 30 September, and 31 December. Shareholders may obtain a copy by contacting Platinum's Investor Services (refer to contact details in Section 5 below).

### 3. NTA Releases

The Company notifies the ASX of its 'unaudited' net tangible asset backing per share, as at the close of business on the last business day of each week (on a pre-tax basis) and at the end of each month (on a pre-tax and post-tax basis). Once notified to the ASX, the notice is published on the Company's website.

### 4. Annual General Meetings

The Company will hold an AGM in October/November each year. The date, time, format and venue of the AGM will be notified to the ASX generally in September each year, after the financial reports are lodged.

The Company will choose a date, format, venue and time considered convenient to the greatest number of its Shareholders. Subject to the provisions of the Corporations Act, the Board may elect to hold the AGM physically or otherwise

as a hybrid or fully virtual meeting. The AGM will also be webcast live so that shareholders can view and hear the meeting online.

A notice of meeting of the AGM will be accompanied by explanatory notes on the items of business to clearly and accurately explain the nature of the business of the meeting. A copy of the AGM notice of meeting will be placed on the Company's webpage.

Shareholders are encouraged to attend the meeting and vote (regardless of the format of the meeting), or if unable to attend, to vote on the motions proposed by appointing a proxy. The proxy form included with the AGM notice of meeting will seek to explain clearly how the proxy form is to be completed and submitted.

Shareholders attending the meeting are provided with the opportunity to raise questions on any items of business during the meeting (regardless of the format of the meeting) and may also direct questions ahead of the meeting to Platinum's Investor Services team (refer to contact details in Section 5 below) who will ensure that any questions will be addressed.

The Auditor of the Company is required to attend each AGM to answer questions about the conduct of the audit and the preparation and contents of the Auditor's report.

### 5. Shareholder / Investor Queries

Shareholders with questions about their shareholding in the Company should contact the Share Registry:

#### **LINK Market Services Limited**

Level 12, 680 George Street,  
Sydney NSW 2000

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Phone 8280 7662

Questions about the Company, its performance and other general investment queries should be directed to Platinum's Investor Services team:

### Platinum Asset Management

Level 8, 7 Macquarie Place, Sydney NSW 2000

Phone 1300 726 700 (Australia), 0800 700 726 (New Zealand),

(61 2) 9255 7500 (International)

[www.platinum.com.au](http://www.platinum.com.au)

The Company and Platinum aims to ensure Shareholder queries are dealt with in a courteous, objective and expeditious manner.

Shareholder complaints will be dealt with in accordance with Platinum's Complaints Handling and Disputes Resolution Policy.

Complaints may be forwarded to [complaints@platinum.com.au](mailto:complaints@platinum.com.au)

### C. POLICY REVIEW

1. This Policy should be reviewed at least once every two years (unless regulatory or other changes arise in the interim which require the policy to be updated more frequently).
2. The Board has delegated authority to the Company Secretary to make administrative changes (such as changes to position titles) to the policy without requiring the Board's prior approval.

### D. GLOSSARY

<b>AGM</b>	annual general meeting of the Company's Shareholders
<b>ASX</b>	ASX Limited (ACN 008 624 691)
<b>Auditor</b>	Company's external auditor
<b>Board</b>	board of Directors of the Company
<b>Chair</b>	chairperson of the Committee
<b>Company</b>	Platinum Capital Limited (ABN 51 063 975 431)
<b>Company Secretary</b>	secretary of the Company
<b>Corporations Act</b>	Corporations Act 2001 ( <i>Cth</i> ), as amended from time to time
<b>Director</b>	director of the Company
<b>Listing Rules</b>	ASX listing rules, as amended from time to time
<b>Platinum</b>	Platinum Investment Management Limited ABN 25 063 565 006 AFSL 221935, in its capacity as investment manager and/or administrator of the Company, as the context requires
<b>Shareholder</b>	holder of shares in the Company
<b>Share Registry</b>	the Company's share registry, Link Market Services Limited